

**EXECUTIVE DOCUMENT SUMMARY**

State Form 41221 (R10/4-06)

Instructions for completing the EDS and the Contract process.

1. Please read the guidelines on the back of this form.
2. Please type all information.
3. Check all boxes that apply.
4. For amendments / renewals, attach original contract.
5. Attach additional pages if necessary.

RECEIVED

MAR 13 2018

IDOA CONTRACTS

1. EDS Number: D120-6-008	2. Date prepared: 2/22/2018
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3. CONTRACTS & LEASES

- | | |
|--|--|
| <input checked="" type="checkbox"/> Professional/Personal Services | <input type="checkbox"/> Contract for procured Services |
| <input type="checkbox"/> Grant | <input type="checkbox"/> Maintenance |
| <input type="checkbox"/> Lease | <input type="checkbox"/> License Agreement |
| <input type="checkbox"/> Attorney | <input checked="" type="checkbox"/> Amendment# <u>10</u> |
| <input type="checkbox"/> MOU | <input type="checkbox"/> Renewal # <u> </u> |
| <input type="checkbox"/> QPA | <input type="checkbox"/> Other <u> </u> |

FISCAL INFORMATION

4. Account Number: 13650-10645.537000	5. Account Name: NEW CASTLE CORRECTION
6. Total amount this action: \$0.00	7. New contract total: 524,882,834.21
8. Revenue generated this action: \$0.00	9. Revenue generated total contract: \$0.00
10. New total amount for each fiscal year:	
Year <u>2006</u> \$6,334,308.00	Year <u>2011</u> \$32,912,436.90
Year <u>2007</u> \$13,117,443.00	Year <u>2012</u> \$35,915,137.96
Year <u>2008</u> \$20,963,822.00	Year <u>2013</u> \$39,486,966.44
Year <u>2009</u> \$21,660,961.50	Year <u>2014</u> \$39,371,176.55
Year <u>2010</u> \$37,527,955.93	Year <u>2015</u> \$40,149,856.22

TIME PERIOD COVERED IN THIS EDS

11. From (month, day, year): 7/1/2010	12. To (month, day, year): 6/30/2020
13. Method of source selection: <input type="checkbox"/> Bid/Quotation <input type="checkbox"/> Emergency <input type="checkbox"/> Negotiated <input checked="" type="checkbox"/> RFP# <u>5-105</u> <input type="checkbox"/> Other (specify) <u> </u> <input type="checkbox"/> Special Procurement	

AGENCY INFORMATION

14. Name of agency: New Castle Correctional Fclty.	15. Requisition Number:
16. Address: Department of Correction New Castle Correctional Facility 1000 VAN NUYS RD NEW CASTLE, IN 47362	

AGENCY CONTACT INFORMATION

17. Name: Jon Ferguson	18. Telephone #: 317/233-8861
19. E-mail address: jferguson1@idoc.in.gov	

COURIER INFORMATION

20. Name: Donna Gossett	21. Telephone #: 317-232-0889
22. E-mail address: dgossett@idoc.in.gov	

VENDOR INFORMATION

23. Vendor ID # 0000117904	
24. Name: THE GEO GROUP INC	25. Telephone #: 561-999-7305
26. Address: PO BOX E NEW CASTLE, IN 47362-1047	
27. E-mail address: wcalabrese@geogroup.com	
28. Is the vendor registered with the Secretary of State? (Out of State Corporations, must be registered) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
29. Primary Vendor: M/WBE/IN-Vetera Minority: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Women: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No IN-Veteran: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	30. Primary Vendor Percentages 90.0 %
31. Sub Vendor: M/WBE/IN-Veteran Minority: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Women: <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No IN-Veteran: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	32. If yes, list the %: Minority: <u>5.0</u> % Women: <u>5.0</u> % IN-Veteran: <u> </u> %
33. Is there Renewal Language in <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	34. Is there a "Termination for Convenience" clause in the document? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

35. Will the attached document involve data processing or telecommunications system ☐ Yes: IOT or Delegate has signed off on contract36. Statutory Authority (Cite applicable Indiana or Federal Codes):
IC 11-12-2-137. Description of work and justification for spending money. (Please give a brief description of the scope of work included in this agreement.)
This amendment updates the Staffing Plan.**RECEIVED**38. Justification of vendor selection and determination of price reasonableness:
Vendor was selected as a result of RFP 5-105. This amendment reflects an increase in the overall cost due to consolidation of rates, application of an annex fee, that came due during the contract term, and a percentage increase for cost of living that is allowed for under the contract. It also includes a credit to the IDOA for contract management, and a lowering of the annex fee due to pre-payment by IFA which lowers the overall cost of the contract.

39. If this contract is submitted late, please explain why: (Required if more than 30 days late.)

OAG-ADVISORY

40. Agency fiscal officer or representative approval 	41. Date Approved 3/7/18	42. Budget agency approval 	43. Date Approved 3/16/18
44. Attorney General's Office approval 	45. Date Approved 3-28-18	46. Agency representative receiving from AG	47. Date Approved



AMENDMENT # 10

EDS # D120-6-008

This is an Amendment to the Contract (the "Contract") entered into by and between the Indiana Department of Correction (the "State") and The GEO Group, Inc., One Park Place, Suite 700, 621 Northwest 53rd St., Boca Raton, Florida 33487 (the "Contractor") approved by the last State signatory on **September 28, 2005**.

In consideration of the mutual undertakings and covenants hereinafter set forth, the parties agree as follows:

This Amendment is to be effective beginning **April 1, 2018**, and its terms to be applied prospectively from that date.

The current Staffing Plan for this Contract is hereby deleted in its entirety and replaced with the attached Exhibit D (hereby incorporated by reference).

All other provisions of the Contract for Services and Amendments thereto, not otherwise amended or revised by this Amendment #10 shall remain in full force and effect; those provisions amended or revised by this Amendment #10 shall be deemed terminated and of no further force of effect as of **April 1 2018**.

A. Employment Eligibility Verification. As required by IC §22-5-1.7, the Contractor swears or affirms under the penalties of perjury that the Contractor does not knowingly employ an unauthorized alien. The Contractor further agrees that:

A. The Contractor shall enroll in and verify the work eligibility status of all his/her/its newly hired employees through the E-Verify program as defined in IC §22-5-1.7-3. The Contractor is not required to participate should the E-Verify program cease to exist. Additionally, the Contractor is not required to participate if the Contractor is self-employed and does not employ any employees.

B. The Contractor shall not knowingly employ or contract with an unauthorized alien. The Contractor shall not retain an employee or contract with a person that the Contractor subsequently learns is an unauthorized alien.

C. The Contractor shall require his/her/its subcontractors, who perform work under this Contract, to certify to the Contractor that the subcontractor does not knowingly employ or contract with an unauthorized alien and that the subcontractor has enrolled and is participating in the E-Verify program. The Contractor agrees to maintain this certification throughout the duration of the term of a contract with a subcontractor.

The State may terminate for default if the Contractor fails to cure a breach of this provision no later than thirty (30) days after being notified by the State.

B. Assignment of Antitrust Claims. The Contractor assigns to the State all right, title and interest in and to any claims the Contractor now has, or may acquire, under state or federal antitrust laws relating to the products or services which are the subject of this Contract.

All matters set forth in the original Contract and not affected by this Amendment shall remain in full force and effect.

Non-Collusion and Acceptance

The undersigned attests, subject to the penalties for perjury, that the undersigned is the Contractor/Grantee, or that the undersigned is the properly authorized representative, agent, member or officer of the Contractor/Grantee. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of the Contractor/Grantee, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Amendment other than that which appears upon the face hereof. Furthermore, if the undersigned has knowledge that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Amendment, the Contractor/Grantee attests to compliance with the disclosure requirements in IC 4-2-6-10.5.

In Witness Whereof, Contractor and the State have, through their duly authorized representatives, entered into this Amendment. The parties, having read and understood the foregoing terms of this Renewal, do by their respective signatures dated below agree to the terms thereof.

The GEO Group, Inc.

By: _____

AMBER D. MARTIN

Name and Title, Printed

Executive VP Contract Administration

Date: _____

The Geo Group, Inc.

Approved by:

Indiana Department of Administration

By: _____

Jessica Robertson, Commissioner

Date: _____

APPROVED as to Form and Legality:

Office of the Attorney General

By: _____

Curtis T. Hill, Jr., Attorney General

Date: _____

Approved by:

Indiana Office of Technology

By: _____

N/A (for)
Dewand Neely, Chief Information Officer

Date: _____

The Indiana Department of Correction

By: _____

Rob Carter, Commissioner

Date: _____

Approved by:

State Budget Agency

By: _____

Jason D. Dudich, Director

Date: _____

Exhibit D

The GEO Group, Inc New Castle Correctional Facility 3164 Beds Indiana DOC

Last Updated 01/12/18

Executive Office

	Non-Shift	Shift 1	Shift 2	Relief	FTE
Superintendent	1.00			1.00	1.00
Executive Secretary	1.00			1.00	1.00
Executive Assistant	1.00			1.00	1.00
Human Resource Manager	1.00			1.00	1.00
Human Resource Specialist	2.00			1.00	2.00
Human Resource Clerk	1.00			1.00	1.00
ACA/QCP Compliance Manager	1.00			1.00	1.00
Asst Manager ACA/QCP Compliance	1.00			1.00	1.00
Compliance Administrator, PREA	1.00			1.00	1.00
Grievance/Litigation Manager	1.00			1.00	1.00
Assistant Grievance/Litigation Manager	1.00			1.00	1.00
Training Administrator	1.00			1.00	1.00
Training Coordinator	1.00			1.00	1.00
Training Clerk	1.00			1.00	1.00
Safety/Risk Manager	1.00			1.00	1.00
Assistant Safety/Risk Manager	1.00			1.00	1.00

Sub Total

17.00

17.00

Business / Support

	Non-Shift	Shift 1	Shift 2	Relief	FTE
Assistant Warden - Finance & Administration	1.00			1.00	1.00
Assistant Business Manager	1.00			1.00	1.00
MIS Manager	1.00			1.00	1.00
Warehouse / Supply Manager	1.00			1.00	1.00
Warehouse Worker	1.00			1.00	1.00
Warehouse Technician	1.00			1.00	1.00
Laundry Manager	1.00			1.00	1.00
Laundry Worker	2.00			1.00	2.00
Payroll Clerk	1.00			1.00	1.00
Accounting Clerk	2.00			1.00	2.00
Offender Accounts Clerk	2.00			1.00	2.00
Mail Room Supervisor	1.00			1.00	1.00
Mail Room Clerk	4.00			1.00	4.00
Commissary Manager	1.00			1.00	1.00
Commissary Clerk	4.00			1.00	4.00
Administrative Clerk/Reception	1.00			1.00	1.00

Sub Total

25.00

25.00

Maintenance

	Non-Shift	Shift 1	Shift 2	Relief	FTE
Facility Maintenance Manager	1.00			1.00	1.00
Maintenance Technician		5.00	3.00	1.00	8.00
Maintenance Technician		1.00	2.00	1.67	5.00
Tool Room/Maintenance Clerk	1.00			1.00	1.00
<hr/>					
Sub Total	2.00	6.00	5.00		15.00

Programs

	Non-Shift	Shift 1	Shift 2	Relief	FTE
Academic Programs					
Assistant Warden - Re-Entry	1.00			1.00	1.00
Education Director	1.00			1.00	1.00
Program Director	1.00			1.00	1.00
Disciplinary Hearing Officer	1.00			1.00	1.00
Disciplinary (CAB) Screening Officer	2.00			1.00	2.00
Education Clerk	2.00			1.00	2.00
Chaplain	3.00			1.00	3.00
Community Involvement Coordinator	1.00			1.00	1.00
Librarian	1.00			1.00	1.00
Library Aide	2.00			1.00	2.00
Academic Instructor (ABE/Pre-GED/GED)	8.00			1.00	8.00
ESL Instructor	1.00			1.00	1.00
Life Skills Instructor (Re-Entry Programing)	2.00			1.00	2.00
Vocational Instructor (Master Student/Employee)	1.00			1.00	1.00
Vocational Instructor (Culinary Arts)	2.00			1.00	2.00
Vocational Instructor (Horticulture)	1.00			1.00	1.00
Vocational Instructor (Building Maintenance)	1.00			1.00	1.00
Vocational Instructor (Computers)	1.00			1.00	1.00
Vocational Instructor (Barber)	1.00			1.00	1.00
Re-Entry Unit Management					
Classification Supervisor	1.00			1.00	1.00
Unit Manager - Mental Health, Infirmary, A Unit	1.00			1.00	1.00
Unit Manager - B/C/D	1.00			1.00	1.00
Unit Manager - E/F/G	1.00			1.00	1.00
Unit Manager - H/I/J	1.00			1.00	1.00
Unit Manager - K	1.00			1.00	1.00
Unit Manager - L Camp	1.00			1.00	1.00
Case Manager - Mental Health/Infirmary	1.00			1.00	1.00
Case Manager - A/Segregation	1.00			1.00	1.00
Case Manager - B	2.00			1.00	2.00
Case Manager - C	2.00			1.00	2.00
Case Manager - D	2.00			1.00	2.00
Case Manager - E	2.00			1.00	2.00
Case Manager - F	2.00			1.00	2.00
Case Manager - G	2.00			1.00	2.00
Case Manager - H	2.00			1.00	2.00
Case Manager - I	2.00			1.00	2.00

Case Manager - J	2.00	1.00	2.00
Case Manager - K	2.00	1.00	2.00
Case Manager - L Camp	2.00	1.00	2.00
Case Manager - M (Annex PC)	1.00	1.00	1.00
Case Manager - O (Annex Transition)	1.00	1.00	1.00
Re-Entry Specialists	3.00	1.00	3.00
Substance Abuse Instructor	6.00	1.00	6.00
Recreation Specialist	3.00	1.00	3.00
Offender Records Manager	1.00	1.00	1.00
Offender Records Clerks	5.00	1.00	5.00

Sub Total

84.00

84.00

Security Supervisors

	Non-Shift	Shift 1	Shift 2	Relief	FTE
Assistant Warden - Operations	1.00			1.00	1.00
Security Clerk	2.00			1.00	2.00
Security Operations Manger	1.00			1.00	1.00
Administrative Clerk	1.00			1.00	1.00
Chief of Security - Major	1.00			1.00	1.00
Captain M/O (Annex)	1.00			1.00	1.00
Transportation Supervisor (Lieutenant)	1.00			1.00	1.00
Lead Investigator	1.00			1.00	1.00
Investigation & Intelligence Technician	2.00			1.00	2.00
Security Threat Group Investigator	2.00			1.00	2.00
Investigator, PREA	1.00			1.00	1.00
Security Threat Group Clerk	1.00			1.00	1.00
Segregation Supervisor - Lieutenant	1.00			1.00	1.00
Lieutenant - Mental Health	1.00			1.00	1.00
Armory Sergeant	1.00			1.00	1.00
Key Control Sergeant	1.00			1.00	1.00
K9 Sergeant	1.00			1.00	1.00
Shift Captain		1.00	1.00	2.25	4.50
Shift Supervisor - Lieutenant		1.00	1.00	2.25	4.50
Shift Supervisor - Lieutenant - M/O		1.00	1.00	2.25	4.50
Assistant Shift Supervisor - Sergeant		3.00	3.00	2.25	13.50
Assistant Shift Supervisor - Sergeant - M/O		2.00	1.00	2.25	6.75
Segregation Sergeant		1.00	1.00	2.25	4.50
Sergeant - Mental Health		1.00	1.00	2.25	4.50
Rounding					0.25

Sub Total

20.00

9.00

8.00

63.00

Correctional Officers

Unit L - Camp (204 Beds)

	Non-Shift	Shift 1	Shift 2	Relief	FTE
Housing Officer		2.00	2.00	2.25	9.00
Inside Grounds Work Crew Officer		1.00		2.25	2.25
Community Work Crew Officer ¹	2.00			1.00	2.00
Outside Grounds Work Crew Officer ¹	2.00			1.00	2.00

Rounding

-0.25

Sub Total**4.00****3.00****2.00****15.00****Mental Health Housing (128 Beds)**

	Non-Shift	Shift 1	Shift 2	Relief	FTE
M/H 2/4 Control		1.00	1.00	2.25	4.50
M/H 2/4 Rover		2.00	1.00	2.25	6.75
M/H 6/8 Control		1.00	1.00	2.25	4.50
M/H 6/8 Rover		2.00	1.00	2.25	6.75
M/H 1/3 Control		1.00	1.00	2.25	4.50
M/H 1/3 Rover		2.00	1.00	2.25	6.75
M/H 5/7 Control		1.00	1.00	2.25	4.50
M/H 5/7 Rover		2.00	1.00	2.25	6.75
M/H Recreation Stationary		1.00		2.25	2.25
M/H Recreation Escort		2.00	1.00	2.25	6.75
M/H Utility/Relief	2.00			1.20	2.40

Sub Total**0.00****0.00****0.00****56.40****General Population Housing Unit A (92 Beds) Units B - K (210 Beds Each) Housing Units H/S 1&2 (256 beds each)**

	Non-Shift	Shift 1	Shift 2	Relief	FTE
Housing Unit-A Officers		1.00	1.00	2.25	4.50
Housing Unit-B Officers		2.00	2.00	2.25	9.00
Housing Unit-C Officers		2.00	2.00	2.25	9.00
Housing Unit-D Officers		2.00	2.00	2.25	9.00
Housing Unit-E Officers		2.00	2.00	2.25	9.00
Housing Unit-F Officers		2.00	2.00	2.25	9.00
Housing Unit-G Officers		2.00	2.00	2.25	9.00
Housing Unit-H Officers		2.00	2.00	2.25	9.00
Housing Unit-I Officers		2.00	2.00	2.25	9.00
Housing Unit-J Officers		2.00	2.00	2.25	9.00
Housing Unit-K Officers		2.00	2.00	2.25	9.00
Relief/Utility		2.00	2.00	2.25	9.00
Housing Unit-M Control Officers		1.00	1.00	2.25	4.50
Housing Unit-M Officers		3.00	2.00	2.25	11.25
Housing Unit-O Control Officers		1.00	1.00	2.25	4.50
Housing Unit-O Officers		2.00	1.00	2.25	6.75
Housing Unit-O Segregation		2.00	2.00	2.25	9.00
Housing Unit-M/O Utility-Escort		1.00	1.00	2.25	4.50

Sub Total**0.00****33.00****31.00****144.00****Support Officers**

	Non-Shift	Shift 1	Shift 2	Relief	FTE
Count Officers ¹		1.00	1.00	2.25	4.50
Staff/Visitor Entry ¹		2.00	1.00	2.25	6.75
Central Control Officer		2.00	2.00	2.25	9.00

Perimeter Patrol	1.00	1.00	2.25	4.50
Yard/Compound ¹	2.00	2.00	2.25	9.00
Escort/Utility ¹	1.00	1.00	2.25	4.50
K-9 Aggressive	1.00	0.50	2.25	3.38
Segregation Control ¹	1.00	1.00	2.25	4.50
Segregation Rover ¹	3.00	2.00	2.25	11.25
Kitchen ¹	1.00	0.50	2.25	3.38
Medical ¹	2.00	1.50	2.25	7.88
Indoor/Outdoor Recreation ¹	1.00	1.50	2.25	5.63
Indoor/Outdoor Recreation (Part-time)	2.00		0.50	1.00
Back Gate	1.00		1.75	1.75
Tower Officer	1.00	1.00	2.25	4.50
Visitation ¹	2.00		2.25	4.50
Visitation (Part-time)	4.00		0.50	2.00
Property Officer	1.00		1.20	1.20
Transportation ¹	12.00		1.20	14.40
Receiving and Release ¹	3.00		1.20	3.60
Education Officer ¹		3.00	2.00	1.60
Education Officer (Part-time)		4.00		0.38
Sanitation Officer ¹	1.00		1.20	1.20
Kitchen	1.00		1.20	1.20
K-9 Scent	2.00		1.00	2.00
Tool Control	1.00		1.00	1.00
Rounding				0.50

Sub Total

21.00	35.00	18.00	122.60
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Total Correctional Officers

25.00	71.00	51.00	338.00
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¹Position may be redeployed if no offenders are present or as activities require.

²Correctional Emergency Response Teams (CERT) are derived from Escort/Utility, Yard Compound

SUMMARY	NonShift	Shift 1	Shift 2	FTE
Executive Office	17.00	0.00	0.00	17.00
Business / Support	25.00	0.00	0.00	25.00
Maintenance	2.00	6.00	5.00	15.00
Programs	84.00	0.00	0.00	84.00
Security Supervisors	20.00	9.00	8.00	63.00
Correctional Officers	25.00	82.00	63.00	338.00
TOTAL STAFF	173.00	97.00	76.00	542.00